

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of GORIN, Allen L., et al.

Group Art Unit: To Be Assigned

Application No.: 09/712,194

Examiner: To Be Assigned

Filed: November 15, 2000

Docket No.: 02685/5744

For: **Method and System for Predicting Problematic Situations In A Task Classification System**



INFORMATION DISCLOSURE STATEMENT

Director of the U.S. Patent and Trademark Office  
Washington, D.C. 20231

Sir:

Pursuant to 37 CFR § 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom. The paragraphs marked below are applicable.

☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date or the date of filing a CPA, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.

☐ 2. This Information Disclosure Statement is being filed with a Request for Continued Examination (RCE). No certification or fee is required.

☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.

☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).

☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office

in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).

☐ c. Please debit Deposit Account No. 11-0600 in the amount of \$240.00 in payment of the fee under 37 CFR §1.17(p) to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached.

☐ 4. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Applicant(s) hereby petition(s) that the Information Disclosure Statement be considered. Please debit Deposit Account No. 11-0600 in the amount of \$130.00 in payment of the petition fee under 37 CFR §1.17(i)(1) to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached.

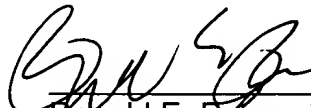
☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).

☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).

☒ 5. The Examiner's attention is directed to co-pending U.S. Patent Application Serial No. 09/712,192, co-filed November 15, 2000, which is directed to related technical subject matter. The identification of this U.S. Patent Application is not to be construed as a waiver of secrecy as to that application now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited application and the art cited therein during examination of the present application.

Respectfully submitted,

Date: 9/1 Alan

  
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT	ATTY. DOCKET NO. <b>02685/5744</b>	SERIAL NO. <b>09/712,194</b>
	APPLICANTS: <b>GORIN, Allen L., et al.</b>	
	FILING DATE <b>November 15, 2000</b>	GROUP <b>To Be Assigned</b>

### U. S. PATENT DOCUMENTS

EXAMINER INITIAL	PATENT NUMBER	PATENT DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	5,675,707	October 7, 1997	Gorin, et al.	395/266	9/15/1995
	5,860,063	January 12, 1999	Gorin, et al.	704/257	7/11/1997
	6,044,337	March 28, 2000	Gorin, et al.	704/1	10/29/97
	6,173,261B	January 9, 20001	Arai, et al.	704/257	12/21/98
	08/943,944	Pending	Abella, et al.		10/03/97
	09/690,721	Pending	Gorin, et al.		10/18/00
	09/690,903	Pending	Gorin, et al.		10/18/00
	09/699,494	Pending	Gorin, et al.		10/31/00
	09/699,495	Pending	Gorin, et al.		10/31/00
	09/699,496	Pending	Abella, et al.		10/13/00

### FOREIGN PATENT DOCUMENTS

EXAMINE R INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION	
					YES	NO

### OTHER DOCUMENTS

EXAMINER INITIAL	

Examiner Name	DATE CONSIDERED
EXAMINER: Initial if citation is considered, whether or not citation is in conformance with M.P.E.P. 609; strike out citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	